

NAME

DATE

# DeGreen-Direct Supplemental Questionnaire

## Do you need a Professional Independent Advisor?

We are honored to welcome you as a DeGreen-Direct Investor. However, we do not provide services other than portfolio management. You may have other financial-, estate- or business- planning issues, or tax or insurance issues, that are not being adequately addressed. If you need help, we want to help you find the help you need. Therefore:

### Please answer the following questions (please be very honest with yourself):

1. Do you have other investments that you need to have reviewed? \_\_\_ Yes \_\_\_ No
2. Are you confident that your wills and trusts fully address your current needs and desires? \_\_\_ Yes \_\_\_ No
3. Are you confident that you are planning adequately for your financial needs during retirement, or for other major lifetime needs? \_\_\_ Yes \_\_\_ No
4. If you own a business, are you confident that you have adequately addressed:
  - a. Business continuation issues in the event of your death or disability? \_\_\_ Yes \_\_\_ No
  - b. Maximization of tax-deferred compensation such as the establishment of qualified retirement plans, and other benefits? \_\_\_ Yes \_\_\_ No
  - c. Your strategy for eventually selling the business, whether to a relative or to a third-party? \_\_\_ Yes \_\_\_ No
5. Are you confident that you are adequately addressing all tax issues in your life, including those in your business; and that you are adequately planning to minimize the tax consequences of any pending financial event such as the sale of a business or another major asset, or the management of an estate or inheritance? \_\_\_ Yes \_\_\_ No
6. Are you confident that you have adequate:
  - a. Life insurance? \_\_\_ Yes \_\_\_ No
  - b. Disability insurance? \_\_\_ Yes \_\_\_ No
  - c. Long-term care insurance? \_\_\_ Yes \_\_\_ No
7. Do you remain confident that you should invest with DeGreen Capital Management without the assistance of Brent Pine and Integrated Wealth Management? \_\_\_ Yes \_\_\_ No
8. Are there any other financial issues in your life with which you may need professional assistance? \_\_\_ Yes \_\_\_ No
9. If you answered "Yes" to question 1, or "No" to questions 2-8, may we refer you to the appropriate advisor? \_\_\_ Yes \_\_\_ No

Comments:

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If investing jointly, both husband and wife must sign:

\_\_\_\_\_  
INDIVIDUAL INVESTOR, HUSBAND OR WIFE

\_\_\_\_\_  
TODAY'S DATE

\_\_\_\_\_  
INDIVIDUAL INVESTOR, HUSBAND OR WIFE

\_\_\_\_\_  
TODAY'S DATE



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