

DeGreen Capital, Allworth Financial and its affiliated entities AW Securities and Allworth Tax Solutions (hereinafter collectively referred to as "Allworth") requires that you provide current and accurate financial and personal information. We will protect the information you have provided in a manner that is safe, secure and professional. Allworth and all of their employees are committed to protecting your privacy and to safeguarding that information.

Safeguarding Customer Documents

We collect non-public customer data in checklists, forms, in written notations, and in documentation provided to us by our customers for evaluation, registration, licensing or related consulting services. We also create internal lists of such data. During regular business hours, access to customer records is monitored so that only those with approval may access the files. During hours in which the company is not in operation, the customer records will be locked. No individual who is not so authorized shall obtain or seek to obtain personal and financial customer information. No individual with authorization to access personal and financial customer information shall share that information in any manner without the specific consent of a firm principal. Failure to observe Allworth's procedures regarding customer and consumer privacy will result in discipline and may lead to termination.

Sharing Nonpublic Personal and Financial Information

Allworth is committed to the protection and privacy of its customers' and consumers' personal and financial information. We will not share such information with any other affiliated companies or any nonaffiliated third party except:

- When necessary to complete a transaction in a customer account or provide other engaged services, such as with the clearing firm, account custodians or software vendors;
- When required to maintain or service a customer account;
- To resolve customer disputes or inquiries;
- With persons acting in a fiduciary or representative capacity on behalf of the customer;
- With rating agencies, persons assessing compliance with industry standards, or to the attorneys, accountants and auditors of the firm;
- In connection with a sale or merger of Allworth or their affiliated entities;
- To protect against or prevent actual or potential fraud, identity theft, unauthorized transactions, claims or other liability;
- To comply with federal, state or local laws, rules and other applicable legal requirements;
- In connection with a written agreement to provide investment management or advisory services when the information is released for the sole purpose of providing the products or services covered by the agreement;
- In any circumstances with the customer's instruction or consent; or Pursuant to any other exceptions enumerated in the California Information Privacy Act.

Your Rights as a California Resident

Residents of the state of California have the right to request certain information from us with respect to the types of personal information we share with third parties for their direct marketing purposes, as well as the identities of the third parties we have shared such information with during the immediately preceding calendar year.

If you are a new customer, we can begin sharing your information 45 days from the date you received our privacy notice. When you are no longer our customer, we may continue to share your information as described in this policy. However, you can contact us at any time to limit our sharing and to restrict online marketing and direct mail.

Our website may contain links to other sites that may collect your personal information. These partners offer services we think our customers may find useful or will improve our services. Those providers may require you to provide personal information to use their services. Personal information collected on other websites is not covered by this Privacy Policy. Unless stated otherwise, the policies of those partners will govern the collection, use, disclosure and security of your personal information.

We use service providers to assist us in marketing activities, who may collect the below categories of information for the following business or commercial purposes (as those terms are defined in applicable law):

- Providing services (e.g. account servicing and maintenance, customer service, analytics, and communication about our offerings);
- Online targeted advertising purposes, demographic information, user-generated content, device information and identifiers, browser information, usage data, geolocation, and social media information;
- Our service provider's operational purposes;
- Auditing consumer interaction on our site;
- Detecting, protecting against, and prosecuting security incidents and fraudulent illegal activity;
- Bug detection and error reporting;
- Customizing content that we, or our service providers, display on this website;
- Improving our existing Services and website experience (including content, functionality, and features);
- Other uses that advance our commercial or business interests, such as communicating with you about relevant products and services available to you;

Data from authenticated and unauthenticated sessions may be stitched together with other operational data, if you chose to opt-out from cookies. To those ends, such data that identifies a particular device (like device mobile phone number if it cannot be reset) will not be permanently linked. If you would like to opt-out of our use of your information for such purposes, you may do so by opt-in out of each of your devices using the following link to remove your device from cookies from our site: <https://allworthfinancial.com/remove-cookies>

We don't respond to "do not track" signals or similar mechanisms – where a visitor to our website requests that we disable the collection of information about the visitor's online activities, including navigation around our website and the service. We may also permit third parties to collect aggregate usage information on our website, and they may also not respond to "do not track" signals.

Opt-Out Provisions

It is not a policy of Allworth to sell or share nonpublic personal and financial information with affiliated or unaffiliated third parties except under the circumstances noted above. Unless you ask us not to, we may contact you via email in the future to tell you about service updates and offerings, or changes to this privacy policy. If you have any questions regarding the safeguarding of your nonpublic personal and financial information or would like to opt-out of receiving our communications, please contact our Compliance Department at 8775 Folsom Blvd, Suite 100, Sacramento, California, 95826.